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ATTN: APPLICATION BRANCH

**THIS IS A RESPONSE TO A  
NOTICE TO FILE MISSING  
PARTS OF AN APPLICATION**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Re: Inventor: Kazunori OZAWA, et al.  
Serial No: 09/767,811  
Filed: January 23, 2001  
For: AUDIO TRANSMISSION, RECORDING AND REPRODUCING  
SYSTEM

SIR:

Attached hereto for filing are the following papers:

**Notice to File Missing Parts of Reissue  
Filing of Declaration  
Reissue Declaration (attached to copy of specification as filed)**

Our check in the amount of \$2870.00 is attached covering any required fees. In the event that any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 CFR 1.136 for the necessary extension of time. A duplicate of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Gregory J. Maier  
Registration No. 25,599

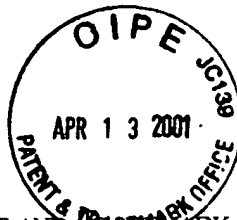
**Joseph A. Scafetta, Jr.  
Registration No. 26,803**

Docket No. 203218US6RE



**22850**

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(OSMMN 10/98)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/767,811	01/23/2001	Kazunori Ozawa	SONY-R7018

203218456 RZ

Charles P Sammut  
350 Woodside Avenue  
Mill Valley, CA 99941-3822  
06/05/2001 ETULU1 00000028 09767811

OBLON

SONY REF: S97P0018US01

## FORMALITIES LETTER



\*OC000000005759805\*

01 FC:108 710.00 OP  
02 FC:110 990.00 OP  
03 FC:109 1040.00 OP

Date Mailed: 02/13/2001

Decl / Basic Filing Fee / Surcharge /  
add'l claim  
fee due  
41301

## NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

## Filing Date Granted

An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2030.
  - \$990 for 55 total claims over 20.
  - \$1040 for 13 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.136(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2870.

06/05/2001 ETULU1 00000028 09767811

01 FC:108 710.00 OP  
02 FC:110 990.00 OP  
03 FC:109 1040.00 OP

Adjustment date: 06/05/2001 ETULU1  
04/16/2001 HNGDR1 00000043 09767811

01 FC:101 710.00 OP  
02 FC:102 1040.00 OP  
03 FC:103 990.00 OP

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/16/2001 HNGDR1 00000043 09767811

01 FC:101 710.00 OP  
02 FC:102 1040.00 OP  
03 FC:103 990.00 OP  
04 FC:104 130.00 OP

**RECEIVED**  
MAY 09 2001  
OBLON, SPIVAK, McCLELLAND  
MAIER & NEUSTADT, P.C.